

## Electronic Acknowledgement Receipt

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<b>Application Number:</b>	08868200
<b>Patent Number:</b>	5963923
<b>Confirmation Number:</b>	3145
<b>Petition Issued Date:</b>	November 4,2011
<b>Title of Invention:</b>	SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER
<b>First Named Inventor/Applicant Name:</b>	HOWARD B. GARBER
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<b>Filer Authorized By:</b>	
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### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$4005
RAM confirmation Number	13846
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<b>File Listing:</b>	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition automatically granted by EFS	petition_garber_maintenance.pdf	842199 e5704b971448b6b89528c3d6d63314038d84aa33fb	no	3

**Warnings:**

**Information:**

2	Fee Worksheet (SB06)	fee-info.pdf	32291 c0080e0cd993aa1107b7830805687ebcf03d3e188a8	no	2
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**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	874490
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.